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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

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Co	omplete if Known	
Application Number		ot (
Filing Date		S. 7
First Named Inventor	Frank PAN	96
Examiner Name		12
Art Unit		258
Attorney Docket No.	USP2355A-FP	~

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)				
✓ Check Credit card Money Other None		3. ADDITIONAL FEES				
Deposit Account:	<u>Large E</u>	Entity	Small	Entity		
Deposit Deposit	Fee Code			Fee (\$)	Fee Description	Coo Doid
Account Number	1051	130	2051		Surcharge - late filing fee or oath	Fee Paid
Deposit	1052	50	2052		Surcharge - late provisional filing fee or	
Account Name					cover sheet	
The Director Is authorized to: (check all that apply)	1053	130	1053		Non-English specification	
Charge fee(s) indicated below Credit any overpayments		2,520	1812	•	For filing a request for ex parte reexamination	
Charge any additional fee(s) or any underpayment of fee(s)	1804	920*	1804	920-	Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filing fee	1805	1,840*	1805	1,840*	Requesting publication of SIR after	
to the above-identified deposit account.	4054	440	0054		Examiner action	
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month Extension for reply within second month	
1. BASIC FILING FEE	1252	420	2252	210	· ·	
Large Entity Small Entity Fee Fee Fee Fee Description Fee Paid	1253	950	2253 2254		Extension for reply within third month	
Code (\$) Code (\$)	1254			740	Extension for reply within fourth month	
1001 770 2001 385 Utility filing fee 385.00	1255	•	2255	.,	Extension for reply within fifth month	
1002 340 2002 170 Design filing fee	1401	330	2401		Notice of Appeal	
1003 530 2003 265 Plant filing fee	1402	330	2402		Filing a brief in support of an appeal	
1004 770 2004 385 Reissue filing fee	1403	290	2403		Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451	1,510	1451		Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 385.00	1452	110	2452	55	Petition to revive - unavoidable	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		1,330	2453	665	Petition to revive - unintentional	
Fee from	1501		2501		Utility issue fee (or reissue)	
Extra Claims below Fee Paid Total Claims 22 _20** = 2 x 9 = 18	1502	480	2502		Design issue fee	
Independent 2** - V	1503	640	2503		Plant issue fee	
Claims -3 -	1460	130	1460		Petitions to the Commissioner	
_ '_ '	1807	50	1807	7 50	Processing fee under 37 CFR 1.17(q)	
Large Entity Small Entity Fee Fee Fee Fee Fee Description	1806	180	1806		Submission of Information Disclosure Stmt	
Code (\$) Code (\$)	8021	40	802	1 40	Recording each patent assignment per property (times number of properties)	
1202 18 2202 9 Claims in excess of 20	1809	770	2809	385	Filing a submission after final rejection	
1201 86 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple dependent claim, if not paid	4040	770	204/		(37 CFR 1.129(a))	
1204 86 2204 43 ** Reissue independent claims	1810	770	2810	J 365	For each additional invention to be examined (37 CFR 1.129(b))	
over original patent	1801	770	2801	385	Request for Continued Examination (RCE)	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	Request for expedited examination of a design application	
(m. 40 00	Other	fee (sp	ecify) _			
SUBTOTAL (2) (\$) 18.00 **or number previously paid, if greater; For Reissues, see above	*Redu	iced by	Basic	Filing F	ee Paid SUBTOTAL (3) (\$) 0.00	

SUBMITTED BY		(Complete (if applicable))
Name (Print/Type)	Raymond Y Chan Registration No. 3	37,484 Telephone 626-571-9812
Signature	/ Whitton	Date 07/0872004

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

In the United States Patent and Trademark Office

Commissioner of Patents Mailed: March 8, 2004 P.O. Box 1450, Alexandria, VA22313-1450 Attorney Docket: USP2355A-FP Sir: Please file the following enclosed patent application papers: Applicant #1 Name: Frank PAN Title: LED Illuminating Module Specification, Claims, and Abstract: Nr. Of Sheets 13 ☑ Declaration: Date Signed: 2/19/2004 ☐ Drawing(s): Nr. Of Sheets Enc.: (In Triplicate): Formal: Informal: ☑ The applicant claims small entity status. See 37 CFR 1.27 Assignment; please record and return; recordal fee enclosed. __ for filing fee (not more than 3 independent claims and 22 total claims) □ \$ Additional of Assignment is enclosed for recordal. The Commissioner is authorized to charge or credit any over payment to the following deposit account: David and Raymond Patent Firm Account No.: 502111 Very respectfully, Signature Raymond Y. Chan Reg. Nr.: 37,484 108 N. Ynez Ave., Suite 128, Monterey Park, CA 91754 **Certificate of Mailing** Express Mail Label: EV 438772226 US Date: 03/08/2004 I hereby certify that this paper or fee is being deposited with the United States Postal Service using "Express Mail Post Office To Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to "Commissioner of Patents, P.O. Box 1450, Alexandria, VA22313-1450". Date: Ward of , 2004 Signature: Name of Person Signing: Raymond Y. Chan